

**F. No.12/5/2019-Coord.
Government of India
Ministry of Finance
Department of Financial Services
(Coord. Section)**

3rd Floor, Jeevan Deep Building,
Parliament Street, New Delhi,
Dated: 23rd December, 2023

OFFICE MEMORANDUM

Sub.: Rectification on Self-appraisal report of Transparency Audit for year 2022-23 audited by Auditor of AJNIFM- reg.

The undersigned is directed to refer audited report of Transparency Audit, audited by Auditor of Arun Jaitley National Institute of Financial Management (AJNIFM), (Copy enclosed).

2. The following points should be rectified at the earliest:


Sr. No	Details of disclosure	Marks	Auditor Category	Auditor Marks	Auditor Remarks/URL	Related Section/Division
1.9.2	System of compensation as provided in its regulations	3.85	Not met	0	DFS may provide system of compensation as provided in its regulations	Cash
1.12.3	Training of CPIO/APIO	1.92	Not Met	0	DFS may take interest in sending CPIOs/ APIO for training	Establishment
2.1.1	Total Budget for the public authority	10	Not Met	0	Budget allocation and details for the department may be indicated	DA
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	50	Fully Met	50	The link does not provide access	DA Section may coordinate with NIC Cell to provide access for the link
4.5.2	Details of application received under RTI and information provided	3.57	Not met	0	The details of applications received under RTI and information provided may be given.	NIC Cell, with a request to create a path link on Department's website so that all the Sections of the Department may upload their respective
4.6.1	Details of application received and disposed	14.29	Not met	0	DFS may provide the relevant details	

4.6.2	Details of appeals received and order issued	14.29	Not met	0	Not Met	RTI details & information provided thereof and Appeal received & disposed Off through the path link.
5.1.4	Consultancy committee of key stake holders for advice on suo-moto disclosure- (a) Date from which constituted, (b) Name & Designation of the officers.	20	Fully met	20	Link may be given and the same information may be displayed on the RTI page.	Estt Section, with a request to get the same information be displayed on the RTI page of the website
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI- (a) Dates from which constituted, (b) Name & Designation of the Officers	20	Fully met	0	The information may be provided on the RTI page	

3. Apart from above, upon reviewing the revamped website of Department of Financial Services, it may be noted that the Vision/Mission, STQC Certification, and the RTI Tab (though present, it has been shifted from the Main Tab to below in the Important Links section) are currently unavailable. Therefore, CS&FT/NIC Cell are requested to add the mentioned items to the new website.

4. All concerned Sections/ NIC cell, are requested to take appropriate action on the above-mentioned points.

Encl: a/a


(Kumar Shailendra)
Under Secretary to the Government of India
 Tel. No. 23748767

To

All Concerned Directors/Deputy Secretaries DFS

Copy for information to:

All Concerned JSs/EAs/ DDG, DFS



अरुण जेटली राष्ट्रीय वित्तीय प्रबन्धन संस्थान

(वित्त मंत्रालय, भारत सरकार की एक स्वायत्तशासी संस्था)

ARUN JAITLEY NATIONAL INSTITUTE OF FINANCIAL MANAGEMENT

(An Autonomous Institute of Ministry of Finance, Government of India)



B-TPA/RTI/Preisc/MEA/April-2019 / 1935

19.09.2023

To

Smt. Jasmine James
(Deputy Secretary to the Government of India)
Ministry of Finance,
Department of Financial Services
Room no 29B, third floor, Jeevan Deep Building,
Sansad Marg, New Delhi-110001

cmw
Sub: Third Party Audit of Suo Moto Disclosure under Section 4 of the RTI Act 2005 – request thereof.

Madam,

Please refer to the Third Party Audit of Suo Moto Disclosure under Section 4 of the RTI Act 2005. AJNIFM has submitted the audited report of your esteem organisation to CIC.

Please find attached the invoice for the payment of the Audit work. Kindly expedite the payment at the earliest as per bank details given in Invoice.

Copy of audited report is attached for your perusal please.

Thanks & Regards,



ayaf

(Jaya Bhalla)

Programme Director (Consultancy)

Phone: 0129-2465207

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Self appraisal report for Year (2022-23)

Auditor Agency: Dr Jaya Bhalla

Ministry Name: Ministry of Finance

Department Name: Department of Financial Services

Public Authority Name: Department of Financial Services

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1	Organisation and Function							
1.1	Particulars of its organisation, functions and duties[Section 4(1)(b)(i)]							
1.1.1	Name and address of the Organization	Fully Met	1.28	1.28	https://financia.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new	Fully Met	1.28	Fully Met https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new
1.1.2	Head of the organization	Fully Met	1.28	1.28	https://financia.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new	Fully Met	1.28	Fully Met https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new
1.1.3	Vision, Mission and Key objectives	Fully Met	1.28	1.28	https://financia.gov.in/about-us/citizen-charter	Fully Met	1.28	Fully Met https://financialservices.gov.in/about-us/citizen-charter
1.1.4	Function and duties	Fully Met	1.28	1.28	https://financia.gov.in/about-us/citizen-charter	Fully Met	1.28	Fully Met https://financialservices.gov.in/about-us/citizen-charter

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.1.5	Organization Chart	Fully Met	1.28	1.28	https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new	Fully Met	1.28	Fully Met https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new
1.1.6	Any other details-the genesis, inception, formation of the department and the HODs from time to time as well as the committees/ Commissions constituted from time to time have been dealt	Fully Met	1.28	1.28	https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new	Fully Met	1.28	Fully Met https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new
1.2	Power and duties of its officers and employees [Section 4(1) (b)(ii)]							
1.2.1	Powers and duties of officers (administrative, financial and judicial)	Fully Met	1.54	1.54	https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new	Fully Met	1.54	Fully Met https://financialservices.gov.in/rti/suo-motor-disclosure-under-section-4-rti-act2005new
1.2.2	Power and duties of other employees	Fully Met	1.54	1.54	https://financialservices.gov.in/rti/suo-motor-disclosure-und	Fully Met	1.54	Fully Met https://financialservices.gov.in/rti/suo-motor-dis

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.2.3	Rules/ orders under which powers and duty are derived and	Fully Met	1.54	1.54	der-section-4-rti-act2005new https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.54	Fully Met http s://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.2.4	Exercised	Fully Met	1.54	1.54	der-section-4-rti-act2005new https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.54	Fully Met http s://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.2.5	Work allocation	Fully Met	1.54	1.54	der-section-4-rti-act2005new https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.54	Fully Met http s://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]							
1.3.1	Process of decision making Identify key decision making points	Fully Met	1.54	1.54	der-section-4-rti-act2005new https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.54	Fully Met http s://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.3.2	Final decision making authority	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.3.3	Related provisions, acts, rules etc.	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.3.4	Time limit for taking a decisions, if any	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.3.5	Channel of supervision and accountability	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.4	Norms for discharge of functions[Section 4(1)(b)(iv)]							
1.4.1	Nature of functions/ services offered	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.i n/rti/suo-moto-	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.4.2	Norms/ standards for functions/ service delivery	Fully Met	1.54	1.54	disclosure-und der-section-4- rti- act2005new https://financia	Fully Met	1.54	/suo-moto-dis closure-under- section-4-rti- act2005new Fully Met http
1.4.3	Process by which these services can be accessed	Fully Met	1.54	1.54	https://financia lservices.gov.i n/rti/suo-moto- disclosure-und der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.4.4	Time-limit for achieving the targets	Fully Met	1.54	1.54	https://financia lservices.gov.i n/rti/suo-moto- disclosure-und der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.4.5	Process of redress of grievances	Fully Met	1.54	1.54	https://financia lservices.gov.i n/rti/suo-moto- disclosure-und der-section-4- rti- act2005new	Fully Met	1.54	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.5	Rules, regulations, instructions manual and records for discharging functions[Section 4(1)(b)(v)]							
1.5.1	Title and nature of the record/ manual /instruction.	Fully Met	1.92	1.92	https://financiaFservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.5.2	List of Rules, regulations, instructions manuals and records.	Fully Met	1.92	1.92	https://financiaFservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.5.3	Acts/ Rules manuals etc.	Fully Met	1.92	1.92	https://financiaFservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.5.4	Transfer policy and transfer orders	Fully Met	1.92	1.92	https://financiaFservices.gov.in/rti/right-to-information	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /right-to- information
1.6	Categories of documents held by the authority under its control[Section 4(1)(b) (vi)]							
1.6.1	Categories of documents	Fully Met	3.85	3.85	https://financiaFservices.gov.in/rti/suo-moto-disclosure-un	Fully Met	3.85	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.6.2	Custodian of documents/categories	Fully Met	3.85	3.85	der-section-4-rti-act2005new https://financiaFuly Met services.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	3.85	Fully Met http s://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]							
1.7.1	Name of Boards, Council, Committee etc.	Not Applicable0	0	0	empty	Not Applicable0	0	NA DFS constitutes many boards, committees and council. The may provide information.
1.7.2	Composition	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.7.3	Dates from which constituted	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.7.4	Term/ Tenure	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.7.5	Powers and functions	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.7.6	Whether their meetings are open to the public?	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.7.7	Whether the minutes of the meetings are open to the public?	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.7.8	Place where the minutes if open to the public are available?	Not Applicable0	0	0	empty	Not Applicable0	0	NA
1.8	Directory of officers and employees[Section 4(1) (b) (ix)]							
1.8.1	Name and designation	Fully Met	3.85	3.85	https://financiaFuly Met services.gov.in/rti/suo-moto-	Fully Met	3.85	Fully Met http s://financialservices.gov.in/rti

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.8.2	Telephone , fax and email ID	Fully Met	3.85	3.85	disclosure-under-section-4-rti-act2005new https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	3.85	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.9	Monthly Remuneration received by officers & employees including system of compensation[Section 4(1) (b) (x)]							
1.9.1	List of employees with Gross monthly remuneration	Fully Met	3.85	3.85	https://financiaFully Met lservices.gov.in/sites/default/files/rti%20dfs%20salary.pdf	Fully Met	3.85	Fully Met http://financialservices.gov.in/sites/default/files/rti%20dfs%20salary.pdf
1.9.2	System of compensation as provided in its regulations	Not Applicable	0	0	empty	Not Met	0	Not Met DFS may provide system of compensation as provided in its regulations
1.10	Name, designation and other particulars of public information officers[Section 4(1) (b) (xvii)]							
1.10.1	Name and designation of the public information officer (PIO), Assistant Public Information officer (APIO) & Appellate Authority	Fully Met	3.85	3.85	https://financiaFully Met lservices.gov.in/rti/right-to-information	Fully Met	3.85	Fully Met http://financialservices.gov.in/rti/right-to-information
1.10.2	Address, telephone numbers and email ID of each designated official.	Fully Met	3.85	3.85	https://financiaFully Met lservices.gov.i	Fully Met	3.85	Fully Met http://financialservices.gov.i

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.11	No. Of employees against whom Disciplinary action has been proposed/ taken(Section 4(2))							
1.11.1	No. of employees against whom disciplinary action has been (i) Pending for Minor penalty or major penalty proceedings	Fully Met	3.85	3.85	https://financia lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	3.85	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.11.2	(ii) Finalised for Minor penalty or major penalty proceedings	Fully Met	3.85	3.85	https://financia lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	3.85	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.12	Programmes to advance understanding of RTI(Section 26)							
1.12.1	Educational programmes	Fully Met	1.92	1.92	https://financia lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.12.2	Efforts to encourage public authority to participate in these programmes	Fully Met	1.92	1.92	https://financia lservices.gov.i n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.12.3	Training of CPIO/APIO	Not Applicable	0	0	act2005new empty	Not Met	0	act2005new Not Met DFS may take interest in sending CPIOs/APIO for training
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	Fully Met	1.92	1.92	https://financiaiservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.92	Fully Met https://financiaiservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.13	Transfer policy and transfer orders[F No. 1/6/2011- IR dt. 15.4.2013]							
1.13.1	Transfer Policy And Transfer Orders[F No. 1/6/2011- IR Dt. 15.4.2013]	Fully Met	7.69	7.69	https://financiaiservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	7.69	Fully Met https://financiaiservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
Total			87	87		92	87	
2	Budget and Programme							
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.[Section 4(1)(b)(xi)]							
2.1.1	Total Budget for the public authority	Not Applicable	0	0	empty	Not Met	0	Not Met Budget allocation and details for the department may be

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.1.2	Budget for each agency and plan & programmes	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.3	Proposed expenditures	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.4	Revised budget for each agency, if any	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.5	Report on disbursements made and place where the related reports are available	Not Applicable	0	0	empty	Not Applicable	0	NA
2.2	Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)							
2.2.1	Budget	Not Applicable	0	0	empty	Not Applicable	0	NA
2.2.2	Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department.- (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit	Fully Met	16.67	16.67	https://financiaFservices.gov.in/rti/right-to-information	Fully Met	16.67	Fully Met https://financialservices.gov.in/rti/right-to-information
2.2.3	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.	Fully Met	16.67	16.67	https://financiaFservices.gov.in/rti/suo-motodisclosure-under-section-4-rti-act2005new	Fully Met	16.67	Fully Met https://financialservices.gov.in/rti/suo-motodisclosure-under-section-4-rti-act2005new
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]							
2.3.1	Name of the programme of activity	Fully Met	6.25	6.25	https://financiaFservices.gov.in/financial-inclusion	Fully Met	6.25	Fully Met https://financialservices.gov.in/financial-inclusion
2.3.2	Objective of the programme	Fully Met	6.25	6.25	https://financiaFservices.gov.in/financial-	Fully Met	6.25	Fully Met https://financialservices.gov.in/financial-

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.3.3	Procedure to avail benefits	Fully Met	6.25	6.25	inclusion https://financiaFully Met lservices.gov.in/financial-inclusion	Fully Met	6.25	Financial-inclusion Fully Met http://financialservices.gov.in/financial-inclusion
2.3.4	Duration of the programme/ scheme	Fully Met	6.25	6.25	inclusion https://financiaFully Met lservices.gov.in/financial-inclusion	Fully Met	6.25	Financial-inclusion Fully Met http://financialservices.gov.in/financial-inclusion
2.3.5	Physical and financial targets of the programme	Fully Met	6.25	6.25	inclusion https://financiaFully Met lservices.gov.in/financial-inclusion	Fully Met	6.25	Financial-inclusion Fully Met http://financialservices.gov.in/financial-inclusion
2.3.6	Nature/ scale of subsidy /amount allotted	Fully Met	6.25	6.25	inclusion https://financiaFully Met lservices.gov.in/financial-inclusion	Fully Met	6.25	Financial-inclusion Fully Met http://financialservices.gov.in/financial-inclusion
2.3.7	Eligibility criteria for grant of subsidy	Fully Met	6.25	6.25	inclusion https://financiaFully Met lservices.gov.in/financial-inclusion	Fully Met	6.25	Financial-inclusion Fully Met http://financialservices.gov.in/financial-inclusion
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	Fully Met	6.25	6.25	inclusion https://financiaFully Met lservices.gov.in/financial-inclusion	Fully Met	6.25	Financial-inclusion Fully Met http://financialservices.gov.in/financial-inclusion

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]							
2.4.1	Discretionary and non-discretionary grants/ allocations to State Govt./ NGOs/other institutions	Not Applicable	0	0	empty	Not Applicable	0	NA
2.4.2	Annual accounts of all legal entities who are provided grants by public authorities	Not Applicable	0	0	empty	Not Applicable	0	NA
2.5	Particulars of recipients of concessions, permits of authorizations granted by the public authority[Section 4(1) (b) (xiii)]							
2.5.1	Concessions, permits or authorizations granted by public authority	Not Applicable	0	0	empty	Not Applicable	0	NA
2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	Not Applicable	0	0	empty	Not Applicable	0	NA
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]							
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	Fully Met	50	50.00	https://financiaiservices.gov.in/r/suo-motodisclosure-under-section-4-rti-act2005new	Fully Met	50.00	Fully Met Though the link doesn't provide access
Total	Publicity Band Public interface		133	133		143	133	
3	Publicity Band Public interface							
3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation there of [Section 4(1)(b)(vii)] [F No 1/6/2011-IR dt. 15.04.2013]							
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens	Fully Met	12.5	12.50	https://financiaiservices.gov.in/acts-and-subordinate-legislation	Fully Met	12.50	Fully Met https://financiaiservices.gov.in/acts-and-subordinate-legislation

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.1.2	Arrangements for consultation with or representation by - (a) Members of the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants	Fully Met	12.5	12.50	https://financialservices.gov.in/facts-and-subordinate-legislation	Fully Met	12.50	Fully Met https://financialservices.gov.in/facts-and-subordinate-legislation
3.1.3	Public- private partnerships (PPP)- Details of Special Purpose Vehicle (SPV), if any	Not Applicable	0	0	empty	Not Applicable	0	NA
3.1.4	Public- private partnerships (PPP)- Detailed project reports (DPRs)	Fully Met	2.78	2.78	NA	Fully Met	2.78	Fully Met Link to access details may be created
3.1.5	Public- private partnerships (PPP)- Concession agreements.	Not Applicable	0	0	empty	Not Applicable	0	NA
3.1.6	Public- private partnerships (PPP)- Operation and maintenance manuals	Not Applicable	0	0	empty	Not Applicable	0	NA
3.1.7	Public- private partnerships (PPP) - Other documents generated as part of the implementation of the PPP	Not Applicable	0	0	empty	Not Applicable	0	NA
3.1.8	Public- private partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	Not Applicable	0	0	empty	Not Applicable	0	NA
3.1.9	Public- private partnerships (PPP) -Information relating to outputs and outcomes	Not Applicable	0	0	empty	Not Applicable	0	NA
3.1.10	Public- private partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	Fully Met	2.78	2.78	NA	Fully Met	2.78	Fully Met
3.1.11	Public- private partnerships (PPP) - All payment made under the PPP project	Not Applicable	0	0	empty	Not Applicable	0	NA

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.2	Are the details of policies / decisions, which affect public, informed to them[Section 4(1) (c)]							
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Policy decisions/ legislations taken in the previous one year	Fully Met	16.67	16.67	https://financia.gov.in/services.gov.in/n/acts-and-suordinate-legislation	Fully Met	16.67	Fully Met http://s://financialservices.gov.in/acts-and-suordinate-legislation
3.2.2	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Outline the Public consultation process	Fully Met	16.67	16.67	https://financia.gov.in/services.gov.in/n/acts-and-suordinate-legislation	Fully Met	16.67	Fully Met http://s://financialservices.gov.in/acts-and-suordinate-legislation
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive- Outline the arrangement for consultation before formulation of policy	Fully Met	16.67	16.67	https://financia.gov.in/services.gov.in/n/acts-and-suordinate-legislation	Fully Met	16.67	Fully Met http://s://financialservices.gov.in/acts-and-suordinate-legislation
3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]							
3.3.1	Use of the most effective means of communication - Internet (website)	Fully Met	50	50.00	https://financia.gov.in/services.gov.in/	Fully Met	50.00	Fully Met http://s://financialservices.gov.in/
3.4	Form of accessibility of information manual/ handbook[Section 4(1)(b)]							
3.4.1	Information manual/handbook available in Electronic format	Fully Met	25	25.00	https://financia.gov.in/services.gov.in/r/ti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	25.00	Fully Met http://s://financialservices.gov.in/r/ti/suo-moto-disclosure-under-section-4-rti-act2005new
3.4.2	Information manual/handbook available in Printed	Fully Met	25	25.00	https://financia.gov.in/	Fully Met	25.00	Fully Met http://s://financialservices.gov.in/

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	format				Iservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new			s://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
3.5	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]							
3.5.1	List of materials available Free of cost	Fully Met	25	25.00	https://financiaIservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	25.00	Fully Met
3.5.2	List of materials available At a reasonable cost of the medium	Not Applicable	0	0	empty	Not Applicable	0	NA
Total			206	206		206	206	
4	E-Governance							
4.1	Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]							
4.1.1	English	Fully Met	14.29	14.29	https://financiaIservices.gov.in/	Fully Met	14.29	Fully Met https://financialservices.gov.in/
4.1.2	Vernacular/ Local Language	Fully Met	14.29	14.29	https://financiaIservices.gov.in/	Fully Met	14.29	Fully Met https://financialservices.gov.in/
4.2	When was the information Manual/Handbook last updated? [F No. 1/6/2011-IR dt 15.4.2013]							
4.2.1	Last date of Annual updation	Fully Met	28.57	28.57	https://financiaIservices.gov.in/annual-report	Fully Met	28.57	Fully Met https://financialservices.gov.in/annual-report
4.3	Information available in electronic form [Section 4(1)(b)(xiv)]							
4.3.1	Details of information available in electronic form	Fully Met	9.52	9.52	https://financiaIreport	Fully Met	9.52	Fully Met All

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.3.2	Name/ title of the document/record/ other information	Fully Met	9.52	9.52	https://financiaFuly Met	Fully Met	9.52	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
4.3.3	Location where available	Fully Met	9.52	9.52	https://financiaFuly Met	Fully Met	9.52	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
4.4	Particulars of facilities available to citizen for obtaining information[Section 4(1)(b)(xv)]							
4.4.1	Name & location of the faculty	Fully Met	7.14	7.14	https://financiaFuly Met	Fully Met	7.14	Fully Met http s://financialser vices.gov.in/si tes/default/file s/Appointm ent%20of%20 CPIOs%20%2 6%20Appellat e%20Authoriti es.pdf
4.4.2	Details of information made available	Fully Met	7.14	7.14	https://financiaFuly Met	Fully Met	7.14	Fully Met http

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.4.3	Working hours of the facility	Fully Met	7.14	7.14	https://financiaIservices.gov.in/	Fully Met	7.14	Fully Met https://financiaIservices.gov.in/sites/default/files/Appointment%20of%20CP%20Appellate%20Authorities.pdf
4.4.4	Contact person & contact details (Phone, fax email)	Fully Met	7.14	7.14	https://financiaIservices.gov.in/right-to-information	Fully Met	7.14	Fully Met https://financiaIservices.gov.in/sites/default/files/Appointment%20of%20CP%20Appellate%20Authorities.pdf
4.5	Such other information as may be prescribed under Section 4(i) (b)(xvii)							
4.5.1	Grievance redressal mechanism	Fully Met	3.57	3.57	https://financiaIservices.gov.in/	Fully Met	3.57	Fully Met https://financiaIservices.gov.in/

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.2	Details of applications received under RTI and information provided	Not Met	3.57	0	empty	Not Met	0	Not Met The details of applications received under RTI and information provided may be given
4.5.3	List of completed schemes/ projects/ Programmes	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	3.57	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under-section-4-rti-act2005new
4.5.4	List of schemes/ projects/ programme underway	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	3.57	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under-section-4-rti-act2005new
4.5.5	Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	Fully Met	3.57	3.57	https://eprocure.gov.in/cppp/searchbyorg/Department%20of%20Finan	Fully Met	3.57	Fully Met https://eprocure.gov.in/cppp/searchbyorg/Department%

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.6	Annual Report	Fully Met	3.57	3.57	https://financialservices.gov.in/annual-report	Fully Met	3.57	Fully Met https://financialservices.gov.in/annual-report
4.5.7	Frequently Asked Question (FAQs)	Fully Met	3.57	3.57	https://financialservices.gov.in/sites/default/files/FAQ%20of%20the%20Department%20by%20the%20RTI%20committee.pdf	Fully Met	3.57	Fully Met https://financialservices.gov.in/sites/default/files/FAQ%20of%20the%20Department%20by%20the%20RTI%20committee.pdf
4.5.8	Any other information such as - (a) Citizen's Charter, (b) Result Framework Document (RFD), (c) Six monthly reports on the , (d) Performance against the benchmarks set in the Citizen's Charter	Fully Met	3.57	3.57	https://financialservices.gov.in/rtil/suo-motodisclosure-under-section-4-rti-act2005new	Fully Met	3.57	Fully Met Citizen charter may be more detailed and needs to be expanded comprising expectations from clients, benchmarks to measure performance etc.
4.6	Receipt & Disposal of RTI applications & appeals [F.No 1/6/2011-IR dt. 15.04.2013]	Not Met	14.29	0	empty	Not Met	0	Not Met DFS
4.6.1	Details of applications received and disposed	Not Met	14.29	0	empty	Not Met	0	Not Met DFS

4.

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.6.2	Details of appeals received and orders issued	Not Met	14.29	0	empty	Not Met	0	Not Met
4.7	Replies to questions asked in the parliament[Section 4(1)(d)(2)]							
4.7.1	Details of questions asked and replies given	Fully Met	28.57	28.57	https://financia.gov.in/importantlink	Fully Met	28.57	Fully Met http s://financialser vices.gov.in/i mportantlink
Total	Information as may be prescribed		200	168		200	168	
5	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]							
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	Fully Met	20	20.00	https://financialservices.gov.in/right-to-information	Fully Met	20.00	Fully Met http s://financialser vices.gov.in/rti-ri-ght-to-information
5.1.2	Details of third party audit of voluntary disclosure - (a) Dates of audit carried out , (b) Report of the audit carried out	Fully Met	20	20.00	https://financia.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	20.00	Fully Met http s://financialser vices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment , (b) Name & Designation of the officers	Fully Met	20	20.00	https://financialservices.gov.in/rti/right-to-information	Fully Met	20.00	Fully Met http s://financialser vices.gov.in/rti/right-to-information
5.1.4	Consultancy committee of key stake holders for advice on suo-motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the	Fully Met	20	20.00	Committee Formed as on 29.03.2023,	Fully Met	20.00	Fully Met Link may be given and the same

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers	Fully Met	20	20.00	Committee Formed as on 29.03.2023, Dr. Sanjay Kumar, Director along with 2 members	Fully Met	20.00	Fully Met The information may be provided on the RTI page
6	Information Disclosed on own Initiative		100	100		100	100	
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information							
6.1.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information	Fully Met	25	25.00	https://financiaiservices.gov.in/rti/suo-motodisclosure-under-section-4-rti-act2005new	Fully Met	25.00	Fully Met
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Publ							
6.2.1	Whether STQC certification obtained and its validity	Fully Met	12.5	12.50	Obtained and validity is 10.02.2025	Fully Met	12.50	Fully Met
6.2.2	Does the website show the certificate on the Website?	Fully Met	12.5	12.50	https://financiaiservices.gov.in/sites/default/files/STQC-D	Fully Met	12.50	Fully Met https://financiaiservices.gov.in/sites/default/

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
			50	50	FS%20certificate.pdf	791	50	s/STQC-DFS %20certificate.pdf
	Total		775	743			743	
	Grand Total							

Prasanna
 21/05/2023